## 10.4 Partitions of unity on general manifolds

**Definition 39** A partition of unity on M is a collection  $\{\varphi_i\}_{i \in I}$  of smooth functions such that

- $\varphi_i \ge 0$
- {supp  $\varphi_i : i \in I$ } is locally finite
- $\sum_i \varphi_i = 1$

Here *locally finite* means that for each  $x \in M$  there is a neighbourhood U which intersects only finitely many supports supp  $\varphi_i$ .

**Theorem 10.8** Given any open covering  $\{V_{\alpha}\}$  of M there exists a partition of unity  $\{\varphi_i\}$  on M such that supp  $\varphi_i \subset V_{\alpha(i)}$  for some  $\alpha(i)$ .

**Proof:** (by exhaustion – !)

1. M is locally compact since each  $x \in M$  has a neighbourhood homeomorphic to, say, the open unit ball in  $\mathbb{R}^n$ . So take U homeomorphic to a smaller ball, then  $\overline{U}$ is compact. Since M is Hausdorff,  $\overline{U}$  is closed (compact implies closed in Hausdorff spaces).

2. *M* has a countable basis of open sets  $\{U_j\}_{j\in\mathbb{N}}$ , so  $x \in U_j \subset U$  and  $\bar{U}_j \subset \bar{U}$  is compact so *M* has a countable basis of open sets with  $\bar{U}_j$  compact.

3. Put  $G_1 = U_1$ . Then

$$\bar{G}_1 \subset \bigcup_{j=1}^{\infty} U_j$$

so by compactness there is k > 1 such that

$$\bar{G}_1 \subset \bigcup_{j=1}^k U_j = G_2$$

Now take the closure of  $G_2$  and do the same. We get compact sets  $\overline{G}_j$  with

$$\bar{G}_j \subset G_{j+1} \qquad M = \bigcup_{j=1}^{\infty} U_j$$

4. By construction we have

$$\bar{G}_j \setminus G_{j-1} \subset G_{j+1} \setminus \bar{G}_{j-2}$$

and the set on the left is compact and the one on the right open. Now take the given open covering  $\{V_{\alpha}\}$ . The sets  $V_{\alpha} \cap (G_{j+1} \setminus \overline{G}_{j-2})$  cover  $\overline{G}_j \setminus G_{j-1}$ . This latter set is compact so take a finite subcovering, and then proceed replacing j with j + 1. This process gives a countable locally finite *refinement* of  $\{V_{\alpha}\}$ , i.e. each  $V_{\alpha} \cap (G_{j+1} \setminus \overline{G}_{j-2})$ is an open subset of  $V_{\alpha}$ . It is locally finite because

$$G_{j+1} \setminus \overline{G}_{j-2} \cap G_{j+4} \setminus \overline{G}_{j+1} = \emptyset$$

5. For each  $x \in M$  let j be the largest natural number such that  $x \in M \setminus \overline{G}_j$ . Then  $x \in V_{\alpha} \cap (G_{j+2} \setminus \overline{G}_{j-1})$ . Take a coordinate system within this open set and a bump function f which is identically 1 in a neighbourhood  $W_x$  of x.

6. The  $W_x$  cover  $\overline{G}_{j+1} \setminus G_j$  and so as x ranges over the points of  $G_{j+2} \setminus \overline{G}_{j-1}$  we get an open covering and so by compactness can extract a finite subcovering. Do this for each j and we get a countable collection of smooth functions  $\psi_i$  such that  $\psi_i \ge 0$ and, since the set of supports is locally finite,

$$\psi = \sum \psi_i$$

is well-defined as a smooth function on M. Moreover

$$\operatorname{supp} \psi_i \subset V_\alpha \cap (G_m \setminus \overline{G}_{m-3}) \subset V_\alpha$$

so each support is contained in a  $V_{\alpha}$ . Finally define

$$\varphi_i = \frac{\psi_i}{\psi}$$

then this is the required partition of unity.

## 10.5 Sard's theorem (special case)

**Theorem 10.9** Let M and N be differentiable manifolds of the same dimension n and suppose  $F: M \to N$  is a smooth map. Then the set of critical values of F has measure zero in N. In particular, every smooth map F has at least one regular value.